

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Ronald Norbert Hermeling, )  
et al. )  
Serial No. : )  
Filed : ) Group Art Unit:  
For : GLUCAGON LIKE PEPTIDE-1 ) Examiner:  
CRYSTALS )  
Docket No. : X-10242 )

jc135 U.S. PTO  
09/209799  
12/11/98

STATEMENT TO SUPPORT FILING AND SUBMISSION IN ACCORDANCE  
WITH 37 C.F.R. 1.821(f) (SEQUENCE LISTING)


Assistant Commissioner for Patents

Washington, D. C. 20231

Sir:

I hereby affirm that the content of the paper and computer readable copies of the Sequence Listing, submitted in accordance with 37 C.F.R. 1.821(c) and (e), respectively, are the same.

Respectfully submitted,



Ronald S. Maciak  
Attorney/Agent for Applicants  
Registration No. 35,262  
Phone: 317-276-1664

Eli Lilly and Company  
Patent Division/RSM  
Lilly Corporate Center  
Indianapolis, Indiana 46285

11/Dec/98

"Express Mail" mailing label number	EL041986883US
Date of Deposit	<u>Dec. 11, 1998</u>
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<u>Guozhi Attoms</u> Printed Name	<u>Greene Thomas</u> Signature

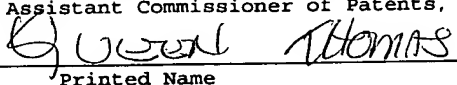

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PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only) for new nonprovisional applications under 37 CFR 1.53(b)</small>		Attorney Docket No. <b>X-10242</b>	
Application Elements See MPEP chapter 600 concerning utility patent application contents.		First Named Inventor or Application Identifier <b>Ronald Norbert Hermeling, et al.</b>	
		Express Mail Label No. <b>EL041986883US</b>	
ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231		<div style="display: flex; align-items: center; justify-content: center;"><div style="writing-mode: vertical-rl; transform: rotate(180deg);">J-135 U.S. PTO</div><div style="writing-mode: vertical-rl; transform: rotate(180deg);">09/20/99</div><div style="writing-mode: vertical-rl; transform: rotate(180deg);">12/11/98</div></div>	
<div style="display: flex; justify-content: space-between;"><div style="width: 48%;"><p>1. <input type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)</p><p>2. <input checked="" type="checkbox"/> Specification [Total 38] Pages (preferred arrangement set forth below)</p><ul style="list-style-type: none"><li>- Descriptive title of the Invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to Microfiche Appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claims</li><li>- Abstract of the Disclosure</li></ul><p>3. <input type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <input type="checkbox"/></p><p>4. <input type="checkbox"/> Oath or Declaration [Total Pages <input type="checkbox"/></p><p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p><p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below]</p><p>1. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p><p>5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p><p>17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No. _____</p></div><div style="width: 48%;"><p>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p><p>7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p><p>a. <input checked="" type="checkbox"/> Computer Readable Copy</p><p>b. <input checked="" type="checkbox"/> Paper Copy (identical to computer copy)</p><p>c. <input checked="" type="checkbox"/> Statement verifying identity of above copies</p></div></div>			
<b>ACCOMPANYING APPLICATION PARTS</b>			
<p>8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>9. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney</p> <p>10. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>11. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations</p> <p>12. <input type="checkbox"/> Preliminary Amendment</p> <p>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>14. <input type="checkbox"/> Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application, Status still proper and desired</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Other: <u>XXX</u></p>			
<b>18. CORRESPONDENCE ADDRESS</b>			
Customer Number or Bar Code Label		(Insert Customer No. Or Attach bar code label here)	
NAME <b>RONALD S. MACIAK</b>		Correspondence address below	
ADDRESS <b>Eli Lilly and Company</b>			
<b>Lilly Corporate Center</b>			
<b>Patent Division DC: 1104</b>			
CITY <b>Indianapolis</b>	STATE <b>Indiana</b>	ZIP CODE <b>46285</b>	
COUNTRY <b>U.S.A.</b>	TELEPHONE <b>317-276-1664</b>	FAX <b>317-276-5172</b>	
"Express Mail" mailing label number <b>EL041986883US</b>			
Date of Deposit <b>Dec. 11, 1998</b>			
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## FEE TRANSMITTAL

Note: Effective November 10, 1997.

Patent fees are subject to annual revision.

Complete if Known

Application Number	
Filing Date	
First Named Inventor	Ronald Norbert Hermeling, et al.
Group Art Unit	
Examiner Name	
Attorney Docket Number	X-10242

TOTAL AMOUNT OF PAYMENT \$890.00

METHOD OF PAYMENT (check one)

FEE CALCULATION (continued)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number  
Deposit Account Name

05-0840

Eli Lilly and Company

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	760	201	380	Utility filing fee	760
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	760	208	380	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) \$760.00

#### 2. CLAIMS

Total Claims	Extra	Fee from below	Fee Paid
25	-20=	5	X 18 = 90
Independent Claims	2	-3=	X 78 = 0
Multiple Dependent Claims		X	260 = 0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim
109 <sup>1</sup>	78	209	39	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$90.00

#### 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
105	130	205	65	Surcharge-late filing fee or oath
127	50	227	25	Surcharge-late provisional filing fee or cover sheet.
139	130	139	130	Non-English specification
147	2,520	147	2,520	For filing a request for reexamination
112	920*	112	920*	Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for reply within first month
116	380	216	190	Extension for reply within second month
117	870	217	435	Extension for reply within third month
118	1,360	218	680	Extension for reply within fourth month
128	1,850	228	925	Extension for reply within fifth month
119	300	219	150	Notice of Appeal
120	300	220	150	Filing a brief in support of an appeal
121	260	221	130	Request for oral hearing
138	1,510	138	1,510	Petition to institute a public use proceeding
140	110	240	55	Petition to revive-unavoidable
141	1,210	241	605	Petition to revive-unintentional
142	1,210	242	605	Utility issue fee (or reissue)
143	430	243	215	Design Issue Fee
144	580	244	290	Plant Issue Fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Petitions related to provisional applications
126	240	126	240	Submission of Information Disclosure Stmt.
581	40	581	40	Recording each patent assignment per property (times number of properties)
146	760	246	380	Filing a submission after final rejection (37 CFR 1.129(a))
149	760	249	380	For each additional invention to be examined (37 CFR 1.129(b))

Other fee (specify)

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$40.00

#### SUBMITTED BY

Printed Name RONALD S. MACIAK

Signature

Complete (if applicable)

Reg. Number 35,262

Date

11/Dec/98

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Date of Deposit DEC 11/1998

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Printed Name

Signature